

1Fw 3621

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Dated: April 25, 2005

Signature: 

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-020
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: S. A.
Cangialosi

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTION OVER A
NETWORK

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: April 25, 2005

Respectfully submitted,

By 

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

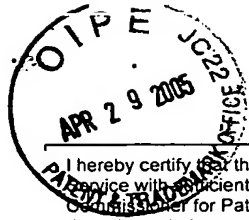
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



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REQUEST FOR CONSIDERATION OF INFORMATION UNDER 37 CFR § 1.97 (C)

Dear Sir:

It is respectfully requested that the references cited in the enclosed form be considered pursuant to 37 C.F.R. § 1.97(c). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). In the event that any additional fee is due in connection with the present request, the same should be charged to our deposit account No. 12-1095.

Dated: April 25, 2005

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Registration No.: 35,858

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KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/014,112	
			Filing Date	December 11, 2001	
			First Named Inventor	Harold A. Ludtke	
			Art Unit	3621	
			Examiner Name	S. A. Cangialosi	
Sheet	1	of	3	Attorney Docket Number	SONY 3.0-020

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-2002/0147914	10-10-2002	Arnold	
	AB	US-3,741,214	01-18-2001	smith	
	AC	US-4,766,293	08/1988	Boston, Vincent	
	AD	US-5,056,019	10-08-1991	Schultz, et al.	
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	AH	US-5,537,314	07-16-1996	MARK W. KANTER	
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Examiner Signature				Date Considered	

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	S. A. Cangialosi
Sheet	2	of	3	Attorney Docket Number	SONY 3.0-020

	AU1	US-6,129,274-A	10/2000	SUZUKI, HIKANU	
	AV1	US-6,138,911	10-31-2000	Fredregill, et al.	
	AW1	US-6,141,754	10-31-2000	Choy	
	AX1	US-6,148,241	11-14-2000	Ludtke et al.	
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	AC2	US-6,282,653	08-28-2001	Berstis et al.	
	AD2	US-6,356,905	03-12-2002	Gershman et al.	
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	AI2	US-6,556,976	04-29-2003	Callen	
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	AK2	US-6,578,014	06-10-2003	Murcko, Jr.	
	AL2	US-6,592,044	07-15-2003	Wong et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	TW-87107650	02-01-1999	Ritter		
	BB	TW-351799	02-01-1999	Rudolf Ritter		
	BC	EP-0917119A2	05-19-1999			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".		
	CB	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".		
	CC	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".		
	CD	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.		
	CE	PACK: "Traditional Retailers Plan Interactive Stores", Orlando Sentinel, May 25, 1995.		
	CH	U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method And System For Executing Deferred Transactions".		
	CK	Co-pending Patent No. 09/466,965, Authentication System, Fingerprint Identification Unit, and Authentication Method. filed December 20, 1999.		

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				Examiner Name	S. A. Cangialosi
Sheet	3	of	3	Attorney Docket Number	SONY 3.0-020

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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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